11. Assessment of the Shortraker Rockfish Stock in the Gulf of Alaska

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Executive Summary

Gulf of Alaska rockfish are assessed on a biennial stock assessment schedule designed to coincide with new data from the Gulf of Alaska bottom trawl survey. For this on-cycle year, we incorporate new survey biomass.

Following the recommendation of the North Pacific Fisheries Management Council, the methodology to estimate exploitable biomass to calculate the ABC and OFL values has changed to the use of a random effects model.

This is the first full assessment for Gulf of Alaska shortraker rockfish since 2011 (Clausen and Echave 2011, http://www.afsc.noaa.gov/refm/docs/2011/GOAshortraker.pdf).

Summary of Changes in Assessment Inputs

Changes in the input data:
New data included in the assessment were the 2015 Gulf of Alaska survey biomass estimates and standard errors, and updated 2015 catch through 1 October 2015.

Changes in the assessment methodology:
The methodology used to estimate the exploitable biomass that is used to calculate the ABC and OFL values for the 2016 fishery has changed this year to the use of a random effects model applied to the trawl survey data from 1984-2015. This new methodology has been recommended for all Tier 5 stocks managed by the North Pacific Fisheries Management Council.

Summary of Results

For the 2016 fishery, we recommend the maximum allowable ABC of 1,286 t for shortraker rockfish. This ABC is 3% lower than the 2015 ABC of 1,323 t. The OFL is 1,715 t. Reference values for shortraker rockfish are summarized in the following table, with the recommended ABC and OFL values in bold. The stock was not being subjected to overfishing last year.

Quantity	As estimated or *specified last* year for[a]: 2015	2016	As estimated or *recommended this* year for: 2016	2017
M (natural mortality rate)	0.03	0.03	0.03	0.03
Tier	5	5	5	5
Biomass (t)	58,797	58,797	57,175	57,175
F_{OFL}	$F=M=0.03$	$F=M=0.03$	$F=M=0.03$	$F=M=0.03$
$maxF_{ABC}$	$0.75M=0.0225$	$0.75M=0.0225$	$0.75M=0.0225$	$0.75M=0.0225$
F_{ABC}	0.0225	0.0225	0.0225	0.0225
OFL (t)	1,764	1,764	**1,715**	1,715
maxABC (t)	1,323	1,323	1,286	1,286
ABC (t)	1,323	1,323	**1,286**	1,286
Status	As determined *last* year for: 2013	2014	As determined *this* year for: 2014	2015
Overfishing	No	n/a	No	n/a

[a] The values for biomass, OFL, and ABC in these two columns are based on Echave and Shotwell 2014. They are based on the average of the last three trawl surveys. The current values (as estimated or recommended for 2016 and 2017) are calculated using the random effects (RE) model. The RE model was fit separately by area, and then summed to obtain Gulfwide biomass.

Summaries for Plan Team

All values are in metric tons.

Stock Assemblage	Year	Biomass	OFL	ABC	TAC	*Catch*[1]
Shortraker Rockfish	2014	58,797	1,764	1,323	1,323	685
	2015	58,797	1,764	1,323	1,323	*538*
	2016	57,175	1,715	1,286	1,286	
	2017		*1,715*	*1,286*	*1,286*	

Stock Assemblage	Area	2015 OFL	ABC	TAC	Catch[1]	2016 OFL	ABC	2017 OFL	*ABC*
Shortraker Rockfish	W		92	92	47		38		*38*
	C		397	397	257		301		*301*
	E		834	834	234		947		*947*
	Total	1,764	1,323	1,323	538	1,715	1,286	*1,715*	*1,286*

[1] Catches updated through October 1, 2015: National Marine Fisheries Service, Alaska Region, Catch Accounting System, accessed via the Alaska Fishery Information Network (AKFIN).
The values for OFL, ABC, and TAC for 2015 are from Echave and Shotwell 2014. They are based on the average of the last three trawl surveys. The ABC and OFL values for 2016 and 2017 are calculated using the random effects (RE) model. The RE model was fit separately by area, and then summed to obtain Gulfwide biomass.

Responses to SSC and Plan Team Comments on Assessments in General

"The Teams recommend that stock assessment authors calculate biomass for Tier 5 stocks based on the random effects model and compare these values to status quo." (Joint Plan Teams, September 2014)
"The SSC also requests that stock assessment authors utilize the random effects model for area apportionment of ABCs." (SSC, December 2014)

"*The Teams recommend that the random effects survey smoothing model be used as a default for determining current survey biomass and apportionment among areas.*" (Joint Plan Teams, September 2015)

Authors present and recommend ABC and OFL values using calculated biomass based on the random effects model. Biomass results using the new methodology are compared with status quo.

Responses to SSC and Plan Team Comments Specific to this Assessment

"The assessment authors note that the trawl survey can only sample a limited proportion of the likely range of shortraker, and that the longline survey may be providing a better abundance index. The SSC encourages the authors to continue to look at ways the longline survey data can be incorporated into the assessment." (SSC, December 2011)

Authors agree that the longline survey may provide a better abundance index for several rockfish species, shortrakers included. Work continues to be done addressing this issue and will be presented in the next full assessment cycle.

Introduction

The North Pacific Fishery Management Council (NPFMC) established shortraker rockfish, *Sebastes borealis*, as a separate management category in the Gulf of Alaska (GOA) in 2005. Previously, shortraker rockfish had been grouped from 1991 to 2004 with rougheye rockfish in the "shortraker/rougheye" management category because the two species are similar in appearance, share the same habitat on the upper continental slope, and often co-occur in hauls. Both species were assigned a single overall ABC (acceptable biological catch) and TAC (total allowable catch), and fishermen were free to harvest either species within this TAC. However, evidence from the NMFS Alaska Groundfish Observer Program indicated that shortraker rockfish were being harvested disproportionately within the shortraker/rougheye group, which raised the possibility that shortraker could become overexploited (Clausen 2004). Because of this concern, the NPFMC decided to establish separate management categories for shortraker and rougheye rockfish starting with the 2005 fishing season.

From 2005 to 2010, the assessment for shortraker rockfish was combined with that for another management group of rockfish in the GOA, "other slope rockfish." Although shortraker rockfish and "other slope rockfish" had separate harvest specifications, their assessments were presented in a single SAFE chapter because each group was assessed using a similar methodology based on the NPFMC's "tier 5" definition of overfishing. However, in 2010 both the GOA Groundfish Plan Team and the NPFMC Scientific and Statistical Committee (SSC) recommended that future assessments for shortraker rockfish and "other slope rockfish" be presented in separate SAFE chapters.

General Distribution

Shortraker rockfish, *Sebastes borealis*, ranges from Hokkaido Island, Japan, north into the Sea of Okhotsk and the Bering Sea, and through the Aleutian Islands and Gulf of Alaska south to southern California. Its center of abundance appears to be Alaska waters. In the GOA, adults of this species inhabit a narrow band along the upper continental slope at depths of 300-500 m; outside of this depth interval, abundance decreases considerably (Ito 1999). Much of this habitat is steep and difficult to trawl in the GOA, and observations from a manned submersible also indicated that shortraker rockfish seemed to prefer steep slopes with frequent boulders (Krieger and Ito 1999). Adult shortraker rockfish may also be associated with *Primnoa* spp. corals that are used for shelter (Krieger and Wing 2002). Research focusing on non-trawlable habitats found rockfish species often associate with biogenic structure (Du Preez *et al*. 2011, Laman *et al*. 2015), and that shortraker rockfish are often found in both trawlable and untrawlable habitats (Rooper and Martin 2012, Rooper *et al*. 2012). Several of these studies are notable as results indicate

adult shortraker biomass may be underestimated by traditional bottom trawl surveys because of issues with extrapolating survey catch estimates to untrawlable habitat (Jones *et al*. 2012, Rooper *et al*. 2012).

Life History Information

Life history information on shortraker rockfish is extremely sparse. The fish are presumed to be viviparous, as are other *Sebastes*, with internal fertilization and development of embryos, and with the embryos receiving at least some maternal nourishment. There have been no fecundity studies on shortraker rockfish. One study on reproductive biology of the fish in the northeastern Pacific (most samples were from the GOA) indicated they had a protracted reproductive period, and that parturition (larval release) may take place from February through August (McDermott 1994). Another study indicated the peak month of parturition in Southeast Alaska was April (Westrheim 1975). There is no information on when males inseminate females or if migrations occur for spawning/breeding. Genetic techniques have been used recently to identify a small number of post-larval shortraker rockfish from samples collected in epipelagic waters far offshore in the GOA, which is the only documentation of habitat for this life stage (Kondzela *et al*. 2007). No data exist on when juvenile fish become demersal in the GOA; in fact, few specimens of juvenile shortraker rockfish <35 cm fork length have ever been caught in this region, so information on this life stage is virtually absent. Off Kamchatka, juvenile shortraker are reported to become demersal starting at a length of about 10 cm (Orlov 2001). Orlov (2001) has also suggested that shortraker rockfish may undergo extensive migrations in the north Pacific. In his theory, which is mostly based on size compositions of shortraker rockfish in various regions, larvae/post-larvae of this species are transported by currents from the GOA to nursery areas in the Aleutian Islands, where they grow and subsequently migrate back to the GOA as young adults. More research is needed to substantiate this scenario. As mentioned previously, adults are particularly concentrated in a narrow band along the 300-500 m depth interval of the continental slope. Within the slope habitat, shortraker rockfish tend to have a relatively even distribution when compared with the highly aggregated and patchy distribution of many other rockfish such as Pacific ocean perch (Clausen and Fujioka 2007). Shortraker rockfish attains the largest size of all *Sebastes*, with a maximum reported total length of 120 cm (Mecklenburg *et al*. 2002).

Evidence of Stock Structure

Genetic studies of shortraker rockfish have indicated evidence of stock structure in the GOA (Matala *et al*. 2004; Gharrett *et al.* 2003), but additional research is needed to better define this structure. Although not conclusive, the genetic studies do not support Orlov's theory of extensive migrations for shortraker rockfish.

Fishery

Fishery History

Throughout the 1991-2004 period during which shortraker/rougheye rockfish existed as a management category in the GOA, directed fishing was not allowed, and the fish could only be retained as "incidentally-caught" species. This incidental catch status has continued for shortraker rockfish since it became a separate category in 2005. In the years since 2005, shortraker rockfish have been taken mostly in fisheries targeting rockfish, sablefish, and Pacific halibut, with lesser amounts taken in the walleye pollock and other groundfish fisheries (Table 11-1). Shortraker rockfish can be caught with both trawls and longlines. The percent caught in each gear type is listed in the following tables for the years 1993-2015[1]. Note that for 1993-2004, information on catch by gear is only available for the shortraker/rougheye category and not for shortraker alone.

[1]1993-2015: National Marine Fisheries Service, Alaska Region, Catch Accounting System, accessed via the Alaska Fishery Information Network (AKFIN). Catches updated through October 1, 2015.

Shortraker/Rougheye Rockfish												
Gear	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004
Trawl	67.7	54.4	73.3	71.2	72.1	58.8	61.2	63.5	49.4	60	68.5	49.5
Longline	32.3	45.6	26.7	28.8	27.9	41.2	38.8	36.5	50.6	40	31.5	50.5

Shortraker Rockfish											
Gear	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015
Trawl	54.8	49.2	54	53.2	56	39.3	63.2	48.7	48.6	49.1	52.1
Longline	45.2	50.8	46	46.8	44	60.7	36.8	51.3	51.4	50.9	47.9

Since 2004, trawl and longline gear each comprised about half the annual catch. Nearly all the longline catch of shortraker rockfish appears to have come as "true" incidental catch in the sablefish or halibut longline fisheries. In rockfish trawl fisheries, however, some of the shortraker is taken by actual targeting that some fishermen call "topping off" (Ackley and Heifetz 2001). "Topping off" works in this way: fishery managers assign all vessels in a directed fishery a maximum retainable amount (MRA) for certain species that may be encountered as incidental catch. If a vessel manages to not catch its MRA during the course of a directed fishing trip, or the MRA is set overly high (as data presented in Ackley and Heifetz [2001] suggest), before returning to port the vessel may be able to make some target hauls on the incidental species and still not exceed its MRA. Such instances of "topping off" for shortraker rockfish appear to take place in the Pacific ocean perch trawl fishery, especially because shortraker rockfish is the most valuable trawl-caught *Sebastes* rockfish in terms of landed price.

In 2007, the Central Gulf of Alaska Rockfish Pilot Program was initiated to enhance resource conservation and improve economic efficiency for harvesters and processors who participate in the Central GOA rockfish fishery. In 2012 this pilot program was permanently put into place as the Central Gulf of Alaska Rockfish Program. This is a rationalization program that established cooperatives among trawl vessels that receive exclusive harvest privileges for rockfish management groups (for details, see North Pacific Fishery Management Council, 2008). The primary rockfish management groups for the program are Pacific ocean perch, northern rockfish, and pelagic shelf rockfish, but there is a small allocation for shortraker rockfish. Catches of shortraker rockfish taken by trawlers in the Central GOA decreased in 2007 (North Pacific Fishery Management Council 2008), and the catches have remained relatively low in the Central GOA in following years. Other effects of the pilot program include: 1) mandatory at-sea and plant observer coverage for vessels participating in the program, which has greatly improved catch data for rockfish in the Central GOA; and 2) extending the fishery season when most trawl-caught shortraker rockfish are taken. Previously, most shortrakers were taken as incidental catch during the directed "derby-style" trawl fisheries for Pacific ocean perch, northern rockfish, and pelagic shelf rockfish, which mostly occurred during July. In the Rockfish Program, trawling can occur anytime between May 1 and November 15, and catches are now spread over this period. Many of the effects on the primary rockfish groups will also affect the secondary species groups. Future analyses regarding the Rockfish Program and the effects on shortraker rockfish will be possible as more data become available.

Management Measures and History

The NPFMC established shortraker rockfish as a separate management category in the GOA in 2005. Previously, shortraker rockfish had been grouped from 1991 to 2004 with rougheye rockfish in the "shortraker/rougheye" management category because the two species are similar in appearance, share the same habitat on the upper continental slope, and often co-occur in hauls. Both species were assigned a

single overall ABC (acceptable biological catch) and TAC (total allowable catch), and fishermen were free to harvest either species within this TAC. However, evidence from the NMFS Alaska Groundfish Observer Program indicated that shortraker rockfish were being harvested disproportionately within the shortraker/rougheye group, which raised the possibility that shortraker could become overexploited (Clausen 2004). Because of this concern, the NPFMC decided to establish separate management categories for shortraker and rougheye rockfish starting with the 2005 fishing season.

From 2005 to 2010, the assessment for shortraker rockfish was combined with that for another management group of rockfish in the GOA, "other slope rockfish." Although shortraker rockfish and "other slope rockfish" were distinct management entities, their assessments were presented in a single SAFE chapter because each group was assessed using a similar methodology based on the NPFMC's "tier 5" definition of overfishing. However, in 2010 both the GOA Groundfish Plan Team and the NPFMC SSC recommended that future assessments for shortraker rockfish and "other slope rockfish" be presented in separate SAFE chapters.

In practice, the NPFMC apportions the ABCs and TACs for shortraker rockfish in the GOA into three geographic management areas: the Western, Central, and Eastern Gulf of Alaska. This apportionment is to disperse the catch across the Gulf and prevent possible depletion in one area.

A timeline of management measures that have affected shortraker rockfish, along with the corresponding Gulfwide annual catch and ABC/TAC levels are listed Table 11-2.

Catch History

Official fishery catch statistics for shortraker rockfish in the GOA are only available for 2005-2015, when the species catch was first reported separately for management purposes (Table 11-3). However, catch statistics are available for shortraker and rougheye rockfish combined for the years 1991-2004, when both species were classified together into one management group, and these are also listed in Table 11-3. Previous to 1991, shortraker rockfish was classified into larger management groups that included Pacific ocean perch and other species of *Sebastes*, and it is generally not possible to separate out the shortraker catches.

Although official catch statistics for shortraker rockfish started only in 2005, unofficial estimates of the Gulfwide catch of shortraker rockfish for the years 1993-2003 were computed in Clausen (2004). These unofficial estimates are shown in Table 11-4. The estimates are based on a combination of data from the observer program and the NMFS Alaska regional office, and take into account differences in catch by area and by gear type. The estimates indicate that annual shortraker catch was generally around 1,000-1,500 t during these years. Annual TACs for the shortraker/rougheye group were the major determining factor of these catch amounts. As shown in Table 11-3, the total Gulfwide catch of shortraker/rougheye for a given year was generally very similar to the corresponding TAC. The 2005-2015 shortraker rockfish official catches have been consistently lower than any of the unofficial estimates in previous years. These low catches in the last ten years correspond to the years when shortraker rockfish has been in its own management category separate from rougheye rockfish. This suggests that the breakup of the shortraker/rougheye group may have caused the subsequent reduction in catch of shortraker rockfish, but the exact reasons for the lower catches are unclear.

Non-commercial (research and sport) catches of shortraker rockfish are reported and discussed in Appendix 11A.

Bycatch

The only analysis of bycatch in shortraker/rougheye rockfish fisheries of the Gulf of Alaska is that of Ackley and Heifetz (2001), in which they examined data for 1994-1996 only. In the hauls they identified as targeting shortraker/rougheye (most of which were presumably "topping off" hauls as described previously), the major bycatch was arrowtooth flounder, sablefish, and shortspine thornyhead, in descending order by weight.

Discards

Discard rates are higher than those for the three species of *Sebastes* in the GOA that have directed fisheries (Pacific ocean perch, northern rockfish, and dusky rockfish), but are less than the "Other rockfish" management category in this region (see chapters in this SAFE report for Pacific ocean perch, northern rockfish, dusky rockfish, and other rockfish). Since 2005, discards rates of averaged around 29%. Gulfwide discard rates[2] (% of the total catch discarded within a management category) of shortraker rockfish are listed as follows for the years 1991-2015:

Year	Shortraker/ Rougheye
1991	12.3%
1992	22.0%
1993	27.0%
1994	44.6%
1995	29.8%
1996	22.2%
1997	28.1%
1998	28.7%
1999	33.1%
2000	25.9%
2001	36.6%
2002	22.5%
2003	25.5%
2004	28.0%
	Shortraker
2005	16.0%
2006	31.7%
2007	25.8%
2008	20.2%
2009	28.8%
2010	35.5%
2011	23.9%
2012	32.2%
2013	44.3%
2014	35.5%
2015	29.4%

[2]1991-2015: National Marine Fisheries Service, Alaska Region, Catch Accounting System, accessed via the Alaska Fishery Information Network (AKFIN). Updated through October 1, 2015.

Data

Fishery Data

Catch

Detailed catch information for shortraker/rougheye and shortraker rockfish is listed in Table 11-3.

Size and Age Composition

The numbers of lengths sampled by observers for shortraker rockfish in the Gulf of Alaska commercial fishery have been too small to yield meaningful data. Few age samples for this species have been collected from the fishery, and none have been aged.

Survey Data

Longline Surveys in the Gulf of Alaska

Two longline surveys of the continental slope of the Gulf of Alaska provide data on the relative abundance of shortraker rockfish in this region: the earlier Japan-U.S. cooperative longline survey, and the ongoing Alaska Fisheries Science Center (AFSC) domestic longline survey. These surveys compute relative population numbers (RPNs) and relative population weights (RPWs) for fish on the continental slope as indices of stock abundance. The surveys are primarily directed at sablefish, but also catch considerable numbers of shortraker rockfish. Results for both surveys concerning rockfish, however, should be viewed with some caution, as the RPNs and RPWs do not take into account possible effects of competition for hooks with other species caught on the longline, especially sablefish. An analysis of the survey data indicated there was a negative correlation between catch rates of sablefish and shortraker rockfish in the Gulf of Alaska, and that there was likely competition for hooks between species in the surveys (Rodgveller *et al*. 2008). The study concluded that further research and experiments are needed to better quantify the effects of hook competition and to compute adjustment factors for the surveys' catch rates. Recently, another study compared catch rates of shortraker and rougheye rockfish on survey longline gear with observed densities of these fish around the longline from a manned submersible (Rodgveller *et al*. 2011). Results for shortraker and rougheye combined showed a catchability coefficient (q) of 0.91. There was a tendency for longline catch rates of the two species to be related to the observed densities, but this relationship was not significant. Again, this study concluded that additional research is needed on the longline catching process for shortraker rockfish to better determine the suitability of using longline survey results for assessment of this species.

The cooperative longline survey was conducted annually during 1979-94, but RPNs for rockfish are only available for the years 1979-87 (Sasaki and Teshima 1988). These data are highly variable and difficult to interpret, but suggest that abundance of shortraker rockfish remained stable in the Gulf of Alaska (Clausen and Heifetz 1989). The data also indicate that shortraker rockfish are most abundant in the eastern Gulf of Alaska.

The AFSC domestic longline survey has been conducted annually since 1988, and RPNs and RPWs have been computed for each year (Table 11-5). For shortraker rockfish, Gulfwide RPNs have ranged from a low of ~11,000 in 1994 to a high of ~32,000 in 2000. Similarly, lowest and highest Gulfwide RPW values were in these same years. Definite trends in these data over the years are difficult to discern, and the Gulfwide values of RPN and RPW sometimes fluctuate considerably between adjacent years. For example, RPW in 2008 was 39,416, dropped to 25,147 in 2010, and increased to 37,698 in 2011. Since 2011, RPWs have shown annual fluctuations, but have been above the average of the time series since 2014. Some of the fluctuations may be related to changes in the abundance of sablefish, as discussed in the previous paragraph regarding competition for hooks among species.

Similar to the cooperative longline survey, the AFSC domestic longline survey results show that abundance of shortraker rockfish is highest in the eastern Gulf of Alaska: the Yakutat area consistently has by far the greatest RPN and RPW values for shortraker rockfish.

AFSC Trawl Survey Biomass Estimates

Bottom trawl surveys were conducted on a triennial basis in the Gulf of Alaska in 1984 through 1999, and these surveys became biennial starting in 2001 (Table 11-6). The surveys provide much information on shortraker rockfish, including estimates of absolute abundance (biomass) and population length compositions. The trawl surveys have covered all areas of the GOA out to a depth of 500 m (in some surveys to 1,000 m), but the 2001 survey did not sample the eastern GOA. To compensate for this lack of sampling in 2001, substitute values of biomass were computed for this area in 2001 by averaging the eastern GOA biomass estimates in the three previous trawl surveys (for details, see Heifetz et al. 2001). Also, the 1984 and 1987 survey results should be treated with some caution. A different, non-standard survey design was used in the eastern Gulf of Alaska in 1984; furthermore, much of the survey effort in the western and central Gulf of Alaska in 1984 and 1987 was by Japanese vessels that used a very different net design than what has been the standard used by U.S. vessels throughout the surveys. To deal with this latter problem, fishing power comparisons of rockfish catches have been done for the various vessels used in the surveys (for a discussion see Heifetz et al. 1994). Results of these comparisons have been incorporated into the biomass estimates discussed here, and the estimates are believed to be the best available. Even so, the reader should be aware that an element of uncertainty exists as to the standardization of the 1984 and 1987 surveys.

Gulfwide biomass estimates for shortraker rockfish have sometimes shown rather large fluctuations between surveys; for example, biomass was 42,851 t in 1987 and then decreased to 12,681 t in 1990. However, the confidence intervals have usually overlapped (Table 11-6 and Figure 11-1). There has been a general upward trend in the biomass estimates since 1990, with the last three biomass estimates (2011, 2013, and 2015) of 64,835 t, 67,370 t, and 62,317 t being much larger than any of the previous years. In contrast, the estimated biomass in the WGOA (Shumagin Area) continues to follow a downward trend since 2009: WGOA biomass decreased 71.5% from 2013. Biomass estimates increased in both the CGOA and EGOA.

Spatial distribution of catches of shortraker rockfish in the last three GOA trawl surveys indicate the fish are rather evenly spread in a band along the continental slope (Figure 11-2). In past assessments, it had been noted that there have been only a few large catches and virtually no catches near shore of shortraker rockfish (Clausen and Echave 2011). However, the 2013 and 2015 trawl surveys indicate an increase in large catches (>50 kg) Gulfwide (Figure 11-2). Much of the large increases in biomass have been due to a few large hauls. In the Yakutat area in 2013, there was a very large catch of over 1,900 kg in a single haul, and again in 2015 there was a single haul of over 1,200 kg in the Yakutat Area and over 1,110 kg in the Southeast Area. These unusually large catches in 2015 are responsible at least in part for the very wide confidence bounds of the 2015 biomass estimate and the relatively high coefficient of variation (CV) of 32.3%. Compared with many other species of *Sebastes*, the biomass estimates for shortraker rockfish have historically shown relatively moderate confidence intervals and low CVs (compare CVs for shortraker in Table 11-6 vs. those for sharpchin, redstripe, harelequin, and silvergray rockfish in the "Other Rockfish" chapter of this SAFE report). The low CVs are an indication of the generally even distribution of shortraker rockfish that was noted in the introduction of this chapter.

Despite the relative precision of the biomass estimates, historically, assessment authors have been uncertain whether the trawl surveys are accurately assessing abundance of shortraker rockfish. Nearly all the catch of these fish is found on the upper continental slope at depths of 300-500 m. Much of this area in the GOA is not trawlable by the survey's gear because of the area's steep and rocky bottom, except for

gully entrances where the bottom is more gradual. Consequently, biomass estimates for shortraker rockfish are mostly based on the relatively few hauls in gully entrances, and they may not be showing a true picture of abundance or abundance trends. One possible problem in the trawl survey results can be seen when longline survey RPWs for shortraker rockfish are compared with corresponding statistical area biomass estimates in the trawl surveys (see Table 11-5 vs. Table 11-6). Historically, the longline survey has consistently indicated that shortraker rockfish are most abundant in the Yakutat area, and catches in this area often comprise >50% of the Gulfwide RPW for this species. In contrast, the trawl survey results by area have been much more variable, and the Yakutat Area has never stood out as a particular area of abundance. However, in both 2013 and 2015, both the trawl and longline surveys indicate large abundance of shortraker in the Yakutat Area. Shortrakers are a longlived species, however, and an actual increase in abundance would not be seen in such a short time period. This example highlights potential problems with the trawl survey's ability to accurately assess abundance of shortraker rockfish, and how the longline survey may still be providing a better relative index of abundance by area: longline gear can be fished nearly anywhere in the steep 300-500 m slope environment inhabited by shortraker rockfish.

Trawl Survey Size Compositions

Size compositions for shortraker rockfish from the 1990-2007 and 2011-2015 trawl surveys were all unimodal, with almost no fish < 35 cm in length (Figure 11-3). However, results from the 2009 trawl survey were different because there was a modest catch of small fish that ranged in size between 10 and 35 cm long. The reason these small fish occurred in 2009, and not in the other surveys, is unknown. The 2001 results may be biased by the fact that they do not include fish from the eastern GOA because this area was not sampled that year. Shortraker rockfish are generally larger in the eastern Gulf of Alaska (e.g., Martin and Clausen 1995; Martin 1997; von Szalay *et al*. 2008 and 2010) and the 2001 survey seems to be missing many fish >70 cm in length compared to the other surveys. Based on trawl survey samples the mean length of the shortraker rockfish population in the Gulf of Alaska progressively declined from 61.0 cm in 1990 to 53.9 cm in 2003, followed by increases in 2005, 2007, 2011, 2013, and 2015, with a mean for the latter year of 62.5 cm. The relatively low mean length in 2009 of 54.3 cm is largely attributable to the fish < 35 cm that were caught that year.

Trawl Survey Age Compositions

Shortraker rockfish have long been considered among the most difficult rockfish species to age. The usual method for determining rockfish ages, i.e., counting annular growth zones on otoliths, did not appear to work because the growth pattern of shortraker otoliths is so unclear. However, Hutchinson (2004) developed a new aging method for this species based on using thin sections of otoliths and on applying an innovative set of aging criteria to determine which growth bands correspond to annuli. A comparison between his results and those of a previous radiometric study of shortraker rockfish age (Kastelle et al. 2000) indicated general agreement and provided a limited degree of validation. This new aging methodology was used to determine the age compositions of shortraker rockfish in the 1996, 2003, and 2005 GOA trawl surveys (Figure 11-4). Ages ranged from 5 to 146 years, and the results indicate the shortraker rockfish population in the GOA is quite old (mean age varied between 32 and 44 years, depending on the survey). To provide direct validation of the new aging method, in 2008 a validation study was conducted based on carbon 14 levels in shortraker rockfish otoliths from nuclear bomb testing in the 1960s. Results were unsuccessful, however, because carbon 14 could not found in sufficient quantities in the otoliths[3]. Thus, alternative validation techniques will be necessary to verify the aging methodology. One possibility is to conduct an updated and more detailed radiometric study than the previously mentioned Kastelle et al. 2000 study, which was done before Hutchison (2004) and was somewhat problematic because it was based on using length of the fish as a proxy for age.

[3] C. Hutchinson, National Marine Fisheries Service, Alaska Fisheries Science Center, REFM Division, 7600 Sand Point Way NE, Seattle WA 98115. Pers. commun. Jan. 2009.

Because of the lack of direct validation for the aging method, and the consequent uncertainty about the ages, production aging for shortraker rockfish has now been put on hold. Due to this uncertainty, use of an age-structured model to assess Gulf of Alaska shortraker rockfish is not recommended at present. Although we hope to move to an age-structured assessment at some time in the future, better validation of the shortraker rockfish aging methodology is needed before we do so.

Analytic Approach

Modeling Approach

Due to the lack of biological information for shortraker rockfish (especially an absence of validated age data), recent assessments have all used a biomass-based approach based on trawl survey data to calculate ABCs. We continue to use this approach in the present assessment, however, following the recommendations by the Survey Averaging Plan Team and the SSC, methodology for calculating exploitable biomass has changed to the use of a random effects model (RE). The process errors (step changes) from one year to the next are the random effects to be integrated over, and the process error variance is the free parameter. The observations can be irregularly spaced; therefore this model can be applied to datasets with missing data. Large observation errors increase errors predicted by the model, which can provide a way to weight predicted estimates of biomass. Please see Survey Averaging Working Group document for more information on the random effects methodology and results across species (http://www.afsc.noaa.gov/REFM/stocks/Plan_Team/2012/Sept/survey_average_wg.pdf).

Estimates were made using the 1984-2015 GOA trawl survey time series for biomass and estimates of uncertainty. The RE model was fit separately by area, and then summed to obtain Gulfwide biomass estimates. Since the trawl survey did not sample the EGOA in 2001, in our application of the RE model, the 2001 EGOA biomass estimate is treated as missing data. The exploitable biomass in the GOA was previously estimated by averaging the biomass estimates in the last three trawl surveys (Clausen 2009). Before the 2007 assessment (Clausen 2007), exploitable biomass computations did not include the biomass in the 1-100 m depth stratum. This was a holdover from a period in the late 1980s when shortraker rockfish was part of a much larger management group that included all slope rockfish, such as Pacific ocean perch and northern rockfish. Pacific ocean perch in the 1-100 m stratum were thought to be mostly small juveniles and therefore not exploitable. However, in the 2007 assessment for shortraker rockfish, an analysis indicated that excluding the 1-100 m stratum in the exploitable biomass calculations was unnecessary because catches of shortraker rockfish in this stratum are negligible in the surveys (Clausen 2007). Since 2007, the exploitable biomass determinations for shortraker rockfish have included all the strata covered by the trawl surveys.

Shortraker rockfish in the GOA are managed under Tier 5, where OFL = M * exploitable biomass, where M represents natural mortality, and F_{ABC} is estimated by 0.75 * M. The acceptable biological catch (ABC) is obtained by multiplying F_{ABC} by the estimated biomass, ABC $\leq$ 0.75 * M * biomass. M is assumed equal to 0.03 and is discussed further in the following section.

As previously mentioned, we anticipate moving to an age-structured assessment for shortraker rockfish at some time in the future if the aging methodology can be successfully validated.

Parameter Estimates

Mortality, Maximum Age, Female Age- and Size-at-50% Maturity:

Estimates of mortality, maximum age, and female age- and size-at-50% maturity for shortraker rockfish are listed as follows:

Mortality rate	Mortality rate method	Maximum age	Age at Maturity	Size at Maturity	Area	References
-	-	120	-	-	BC	1
0.027-0.042	GSI	-	21.4	44.9	WC,GOA,AL,EBS	2,3
-	-	157	-	-	GOA	4
-	-	146	-	-	GOA	5

Area indicates location of study: British Columbia (BC), West Coast of U.S. (WC), Gulf of Alaska (GOA), Aleutians (AL), and eastern Bering Sea (EBS).
GSI: gonad somatic index (Gunderson and Dygert (1988).
References: 1) Chilton and Beamish 1982; 2) McDermott 1994: 3) Hutchinson 2004; 4) Munk 2001; 5) this report.

The two values for maximum age of shortraker rockfish in the GOA (146 and 157), if true, would make this species one of the longest-lived of all fishes. McDermott (1994) determined that size-at-50% maturity for female shortraker rockfish was 44.9 cm based on samples collected in several regions of the northeast Pacific, including the Gulf of Alaska. Hutchinson's (2004) experimental aging study of shortraker rockfish computed von Bertalanffy growth parameters for females, and he used these parameters to convert McDermott's size-of-maturity to an age-of-50% maturity of 21.4 years. Because it was based on experimental aging, however, and was also determined indirectly, the estimate needs to be confirmed by additional study.

When the shortraker/rougheye category was created in 1991, there was no estimate at that time of *M* or *Z* for shortraker rockfish. Therefore, the SSC suggested the following computation for a proxy estimate of *M*: use the ratio of maximum age of rougheye to shortraker (140/120) from British Columbia and then multiply this value by the mid-point of the range of *Z* for rougheye rockfish in British Columbia (mid-point = 0.025) to yield an *M* of 0.03 for shortraker rockfish. In a later study, *M* for shortraker rockfish was estimated to range between 0.027 and 0.042 (McDermott 1994), so the original estimate of 0.03 for *M* seems reasonable.

Length- and Weight-at-Age:

Length-weight coefficients and von Bertalanffy parameters for shortraker rockfish are listed below. Length-weight coefficients are from the formula $W = aL^b$ where W = weight in kg and L = length in cm (based on data from the 1996 GOA trawl survey in Martin 1997):

Sex	a	b	# sampled
combined	9.85×10^{-6}	3.13	620
males	1.26×10^{-5}	3.07	302
females	1.02×10^{-5}	3.12	318

Von Bertalanffy parameters for shortraker rockfish (GOA = Gulf of Alaska; AI = Aleutian Islands: EBS = Eastern Bering Sea):

Area	Sex	t_0	k	L_{inf} (cm)
GOA/AI/EBS	female	-3.62	0.030	84.60

The von Bertalanffy parameters are based on the previously discussed Hutchinson (2004) study which has been only partially validated, so they should be used with caution. Although the analysis combined samples from the GOA, Aleutian Islands, and eastern Bering Sea, most were from the GOA.

Results

Harvest Recommendations

In previous assessments, shortraker rockfish were always classified as "tier 5" in the NPFMC definitions for ABC and Overfishing Level (OFL) based on Amendment 56 to the Gulf of Alaska FMP. The population dynamics information available for tier 5 species consists of reliable estimates of biomass and natural mortality *M*, and the definitions state that for these species, the fishing rate that determines ABC (i.e., F_{ABC}) is $\leq 0.75M$. Now that that age and maturity data are available for shortraker rockfish, theoretically this species could be moved into tier 4, where $F_{ABC} \leq F_{40\%}$. However, because of the uncertainty of the present aging method and the lack of age validation, we recommend keeping shortraker rockfish in tier 5 for the present. Thus, the recommended F_{ABC} for shortraker rockfish is 0.0225 (i.e., 0.75 x *M*, where $M = 0.03$). Methodology for determining current exploitable biomass that is used to calculate the ABC and OFL values for the 2016 fishery has changed to the use of a random effects model , which utilizes trawl survey data from 1984-2015 to estimate the exploitable biomass in 2015. This new methodology has been recommended for all tier 5 stocks managed by the NPFMC. Applying the F_{ABC} to the estimate of current exploitable biomass (using the new RE methodology) of 57,175 t (+/- CI of 32,348 and 101,057) for shortraker rockfish results in a Gulfwide ABC of 1,286 t and OFL of 1,715 t for the 2016 fishery (Figure 11-5). Previously, the exploitable biomass in the GOA was based on averaging the biomass estimates of the last three trawl surveys (status quo method; Clausen 2009). For comparison purposes, we applied the F_{ABC} to the estimate of exploitable biomass (64,840 t) calculated status quo. This results in a Gulfwide ABC of 1,459 t. Compared to status quo, biomass estimates from the random effects model are lower. There is a 12.6 % difference in the estimated biomass of shortraker rockfish in the GOA between the recommended random effects methodology and the previously used three year survey averaging method.

Area Allocation of Harvests

Since 1991, the Gulfwide ABC for shortraker/rougheye rockfish or shortraker rockfish alone has been allocated amongst the Western, Central, and Eastern GOA regulatory areas based on the geographic distribution of the species' exploitable biomass in the trawl surveys. Previously (beginning in the 1996 SAFE), the distribution had been computed as a weighted average of the percent exploitable biomass distribution for each area in the three most recent trawl surveys. In the computations, each successive survey was given a progressively heavier weighting using factors of 4, 6, and 9, respectively. This 4:6:9 weighting scheme was originally recommended by the GOA Groundfish Plan Team, and had already been used for Pacific ocean perch in the 1996 fishery. The Plan Team believed that for consistency among the rockfish assessments, the same weighting should be applied to shortraker/rougheye rockfish. The Plan Team's method was adopted in the 1996 stock assessment for the 1997 fishery and has continued to be used since. As recommended by the Plan Team's Survey Averaging Work Group, methodology for calculating the distribution has changed this year to the use of the random effects model to estimate the exploitable biomass by region. For apportionment of ABC/OFL, the random effects model was fit to area-specific biomass and subsequent proportions of biomass by area were calculated. For the 2016 fishery, the percent distribution of exploitable biomass for shortraker rockfish biomass in the GOA is: Western area, 2.98%; Central area, 23.40%, and Eastern area, 73.62% (Table 11-7; Figure 11-6). Applying these percentages to the recommended Gulfwide ABC of 1,286 t yields the following apportionments for the GOA in 2016: Western area, 38 t; Central area, 301 t; and Eastern area, 947 t. The recommended WGOA ABC of 38 t is a decrease of 83% from the 2015 value of 92 t, the CGOA decreased by 27.5%, and the

EGOA ABC increased by 12%. The recommended WGOA ABC is notable in that the 2015 WGOA catch (47 t) exceeds this allotment.

For comparison purposes, we calculated the apportionment of ABC/OFL with the previously used 4:6:9 approach (status quo for area allocation). This results in percent distribution of exploitable biomass as following: Western area, 2.66%. Central area, 25.34%, and Eastern area, 72%. Applying these percentages to the status quo calculated ABC of 1,459 yields the following apportionments: Western area, 39 t; Central area, 370 t; and Eastern area, 1,050 t. The percent distribution (for the 2016 fishery) is very similar between the two methods, however, because the RE estimated biomass is lower than status quo, it is to be expected that the RE based ABC by area be lower as well.

Overfishing Level for Shortraker Rockfish

Based on Amendment 56 in the Gulf of Alaska FMP, overfishing for a tier 5 species such as shortraker rockfish is defined to occur at a harvest rate of $F=M$. Therefore, applying the estimate of M for shortraker rockfish (0.03) to the estimate of current exploitable biomass (57,175 t) yields an overfishing catch limit of 1,715 t for 2016. This stock is not being subjected to overfishing.

Summary

A summary of tier, current exploitable biomass, values of F, and recommended ABC (Gulfwide yield and allocated by area) and OFL using the random effects for shortraker rockfish is listed below for 2016 (biomass and yield are in t):

	Exploit.	ABC		Overfishing	
Tier	biomass	F	Yield	F	Yield
5	57,175	$F = 0.75M = 0.0225$	1,286	$F = M = 0.030$	1,715
		Harvest Allocation			
		WGOA	38		
		CGOA	301		
		EGOA	947		

The ABC and OFL values are calculated using the random effects (RE) model. The RE model was fit separately by area, and then summed to obtain Gulfwide biomass. WGOA = Western Gulf of Alaska, CGOA = Central Gulf of Alaska, and EGOA = Eastern Gulf of Alaska.

Ecosystem Considerations

In general, a determination of ecosystem considerations for shortraker rockfish is hampered by the lack of biological and habitat information. A summary of the ecosystem considerations presented in this section is listed in Table 11-8.

Ecosystem Effects on the Stock

Prey availability/abundance trends:
Similar to other rockfish species, stock condition of shortraker rockfish is probably influenced by periodic abundant year classes. Availability of suitable zooplankton prey items in sufficient quantity for larval or post-larval rockfish may be an important determining factor of year-class strength. Unfortunately, there is no information on the food habits of larval or post-larval rockfish to help determine possible relationships

between prey availability and year-class strength. Moreover, visual identification to the species level for field-collected larval or post-larval rockfish is generally not reliable, although genetic techniques allow identification for larvae/post-larvae of many rockfish, including shortraker (Gharrett *et. al* 2001; Kondzela *et al.* 2007). Very few juvenile shortraker rockfish have ever been caught in Alaska, and therefore there is no information on their food items. Adult shortraker rockfish are apparently opportunistic feeders that in Alaska prey on shrimp, deepwater fish such as myctophids, and squid (Yang and Nelson 2000; Yang 2003; Yang *et al.* 2006). Little if anything is known about abundance trends of these rockfish prey items.

Predator population trends:
Rockfish are preyed on by a variety of other fish at all life stages, and to some extent by marine mammals during late juvenile and adult stages. Whether the impact of any particular predator is significant or dominant is unknown. Predator effects would likely be more important on larval, post-larval, and small juvenile shortraker rockfish, but information on these life stages and their predators is nil. Due to their large size, older shortraker rockfish likely have few potential predators other than very large animals such as sleeper sharks or sperm whales.

Changes in physical environment:
Strong year classes corresponding to the period around 1976-77 have been reported for many species of groundfish in the GOA, including Pacific ocean perch, northern rockfish, sablefish, and Pacific cod. Therefore, it appears that environmental conditions may have changed during this period in such a way that survival of young-of-the-year fish increased for many groundfish species, including slope rockfish. The environmental mechanism for this increased survival remains unknown. Changes in water temperature and currents could have an effect on prey item abundance and success of transition of rockfish from the pelagic to demersal stage. Rockfish in early juvenile stage have been found in floating kelp patches which would be subject to ocean currents.

Changes in bottom habitat due to natural or anthropogenic causes could affect survival rates by altering available shelter, prey, or other functions. Associations of juvenile rockfish with biotic and abiotic structure have been noted by Carlson and Straty (1981), Pearcy *et al.* (1989), Love *et al.* (1991), and Freese and Wing (2003). A study in the GOA based on observations from a manned submersible found that adult "large" rockfish had a strong association with *Primnoa* spp. coral growing on boulders: less than 1 percent of the observed boulders had coral, but 85 percent of the "large" rockfish were next to boulders with coral (Krieger and Wing 2002). Although the "large" rockfish could not be positively identified, it is likely based on location and depth that many were shortraker rockfish. The Essential Fish Habitat Environmental Impact Statement (EFH EIS) for groundfish in Alaska (NMFS 2005) concluded that the effects of commercial fishing on the habitat of groundfish is minimal or temporary based largely on the criterion that stocks were above the Minimum Stock Size Threshold (MSST). However, a review of the EFH EIS suggested that this criterion was inadequate to make such a conclusion (Drinkwater 2004). The trend in shortraker abundance suggests that any adverse effect has not prevented the stock from increasing since 1990.

Fishery Effects on the Ecosystem

There is only a small amount of targeted fishing on shortraker rockfish in the GOA that is the result of "topping off" by trawlers (see subsection "Description of the Fishery"). Most of the catch in the GOA is taken incidentally in longline fisheries for sablefish and Pacific halibut or in the rockfish trawl fishery for Pacific ocean perch. Thus, the reader is referred to the discussions on "Fishery Effects" in the sablefish and Pacific ocean perch chapters in this SAFE report.

Fishery-specific contribution to bycatch of HAPC biota:

In the GOA, bottom trawl fisheries for shortraker and rougheye rockfish accounted for very little bycatch of HAPC biota (Table 11-9). This low bycatch is likely explained by the fact that little targeted fishing occurs for these fish.

Fishery-specific concentration of target catch in space and time relative to predator needs in space and time (if known) and relative to spawning components:
Unknown.

Fishery-specific effects on amount of large size target fish:
Unknown.

Fishery contribution to discards and offal production:
Annual fishery discard rates since 2011 have been 24-44 % for shortraker rockfish. The discard amount of species other than shortraker rockfish in hauls targeting shortraker rockfish is unknown.

Fishery-specific effects on age-at-maturity and fecundity of the target fishery:
Unknown.

Fishery-specific effects on EFH non-living substrate:
Unknown, but the heavy-duty "rockhopper" trawl gear commonly used in the rockfish fishery can move around rocks and boulders on the bottom.

Data Gaps and Research Priorities

Currently, validation of aging methods for shortraker rockfish is the most important research priority so that an age-structured model can be used for assessment. Also, much additional research is needed on other aspects of shortraker rockfish biology and assessment. There is little to no information on larval, post-larval, or early stage juveniles of shortraker rockfish. In particular, information is lacking on juvenile shortraker rockfish, which are very seldom caught in any sampling gear. Habitat requirements for larval, post-larval, and early stages are mostly unknown. Habitat requirements for later stage juvenile and adult fish are mostly anecdotal or conjectural. While recent work has improved our understanding greatly Laman *et al.* 2015, Du Preez *et al.* 2011), further research needs to be done on the bottom habitat of the fishing grounds, on what HAPC biota are found on these grounds, and on what impact bottom trawling has on the grounds. Investigation is needed on the distribution and abundance of shortraker rockfish in areas of rough bottom that cannot be sampled by trawl surveys. Further analyses of the longline survey should be completed to help determine if longline data can be used to assess stock condition of shortraker rockfish.

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Tables

Table 11-1.--Estimated catch (%) of shortraker rockfish in the Gulf of Alaska by target fishery, 2005-2015.

	Target Fishery					
Year	Rockfish	Sablefish	Halibut	Pollock	Pacific Cod	Total
2005	53	41	3	3	0	100
2006	47	35	5	12	1	100
2007	49	38	3	9	0	100
2008	44	39	4	12	1	100
2009	54	34	7	4	1	100
2010	31	64	2	2	1	100
2011	48	29	17	5	1	100
2012	47	48	2	2	1	100
2013	45	49	3	2	1	100
2014	43	40	16	0	1	100
2015	51	44	3	1	<1	100*

Source: National Marine Fisheries Service, Alaska Region, Catch Accounting System, accessed via the Alaska Fishery Information Network (AKFIN). Updated through October 1, 2015. * Numbers many not sum to 100 due to rounding.

Table 11-2.--A summary of key management measures and the time series of catch (t), ABC and TAC for shortraker rockfish in the Gulf of Alaska.

Year	Catch (t)	ABC	TAC	Management Measures
1988				The NPFMC implements the slope rockfish assemblage, which includes shortraker rockfish and the species that will become "other slope rockfish", together with Pacific ocean perch, northern rockfish, and rougheye rockfish. Previously, *Sebastes* in Alaska were managed as the "Pacific ocean perch complex" or "other rockfish". Apportionment of ABC among management areas in the Gulf (Western, Central, and Eastern) for slope rockfish assemblage is determined based on average percent biomass in previous NMFS trawl surveys.
1989				
1990				
1991	702	2,000	2,000	Slope rockfish assemblage is split into three management subgroups with separate ABCs and TACs: Pacific ocean perch, shortraker/rougheye rockfish, and "other slope rockfish".
1992	2,165	1,960	1,960	
1993	1,932	1,960	1,764	
1994	1,832	1,960	1,960	
1995	2,250	1,910	1,910	
1996	1,661	1,910	1,910	
1997	1,609	1,590	1,590	Area apportionment procedure for shortraker/rougheye is changed. Apportionment is now based on 4:6:9 weighting of biomass in the most recent three NMFS trawl surveys.
1998	1,734	1,590	1,590	
1999	1,311	1,590	1,590	Trawling is prohibited in the Eastern Gulf east of 140 degrees W longitude. Eastern Gulf trawl closure becomes permanent with the implementation of FMP Amendments 41 and 58 in 2000 and 2001, respectively.
2000	1,745	1,730	1,730	
2001	1,976	1,730	1,730	
2002	1,323	1,620	1,620	
2003	1,402	1,620	1,620	
2004	997	1,318	1,318	
2005	498	753	753	Shortraker rockfish is split as a separate management entity from rougheye rockfish and now has its own ABC and TAC.
2006	664	843	843	
2007	608	843	843	Amendment 68 creates the Central Gulf Rockfish Pilot Program, which affects trawl catches of rockfish in this area.
2008	598	898	898	
2009	588	898	898	
2010	457	914	914	
2011	522	914	914	
2012	690	1,081	1,081	The Central Gulf Rockfish Program is permanently put into place.
2013	731	1,081	1,081	
2014	685	1,323	1,323	
2015	538	1,323	1,323	Area apportionment procedure for shortraker is changed. Apportionment is now based on random effects methodology for biomass estimation.

Source: National Marine Fisheries Service, Alaska Region, Catch Accounting System, accessed via the Alaska Fishery Information Network (AKFIN). Updated through October 1, 2015.

Table 11-3.--Commercial catch (t) of fish in the shortraker/rougheye rockfish and shortraker rockfish management categories in the Gulf of Alaska, with Gulfwide values of acceptable biological catch (ABC) and total allowable catch (TAC), 1991-2015. Updated through October 1, 2015.

	Area of Gulf			Gulfwide	Gulfwide	Gulfwide
Year	Western	Central	Eastern	total	ABC	TAC
			Shortraker/Rougheye Rockfish			
1991	123	408	171	702	2,000	2,000
1992	115	1,367	683	2,165	1,960	1,960
1993	85	1,197	650	1,932	1,960	1,764
1994	114	996	722	1,832	1,960	1,960
1995	216	1,222	812	2,250	1,910	1,910
1996	127	941	593	1,661	1,910	1,910
1997	137	931	541	1,609	1,590	1,590
1998	129	870	735	1,734	1,590	1,590
1999	194	580	537	1,311	1,590	1,590
2000	137	887	721	1,745	1,730	1,730
2001	126	998	852	1,976	1,730	1,730
2002	263	631	429	1,323	1,620	1,620
2003	225	856	321	1,402	1,620	1,620
2004	277	337	383	997	1,318	1,318
			Shortraker Rockfish			
2005	70	223	205	498	753	753
2006	91	303	270	664	843	843
2007	194	164	250	608	843	843
2008	133	244	221	598	898	898
2009	155	209	223	588	898	898
2010	64	136	257	457	914	914
2011	78	228	216	522	914	914
2012	90	306	295	690	1,081	1,081
2013	37	449	246	731	1,081	1,081
2014	76	326	282	685	1,323	1,323
2015	47	257	234	538	1,323	1,323

Sources: Catch: 1991-2015: National Marine Fisheries Service, Alaska Region, Catch Accounting System, accessed via the Alaska Fishery Information Network (AKFIN). Updated through October 1, 2015. ABC and TAC: 1991-2007, Clausen (2007); 2008- 2015, North Pacific Fishery Management Council website (http://www.fakr.noaa.gov/npfmc/Council0910specs.pdf).

Table 11-4.--Estimated commercial catch (t) of shortraker rockfish in the Gulf of Alaska, 1993-2003, based on data from the NMFS Alaska Observer Program database and from the NMFS Alaska Regional Office. See Clausen (2004) for an explanation of how these numbers were estimated.

Year	Catch
1993	1,348
1994	1,254
1995	1,545
1996	1,102
1997	1,065
1998	1,069
1999	992
2000	1,214
2001	1,385
2002	1,051
2003	1,010

Table 11-5.--Relative population number (RPN) and relative population weight (RPW) for Gulf of Alaska shortraker rockfish in the Alaska Fishery Science Center longline survey, 1988-2015. Data are for the upper continental slope only, 201-1,000 m depth (gullies are not included).

	1988	1989	1990	1991	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001
Shortraker RPN:														
Shumagin	4,492	3,272	3,015	3,074	1,660	1,523	2,549	5,765	4,098	2,888	4,630	5,011	9,481	5,150
Chirikof	1,290	858	773	776	572	229	613	531	646	918	973	823	1,298	1,031
Kodiak	2,332	2,691	3,476	2,412	1,374	1,067	1,040	1,325	2,231	2,200	2,498	3,078	2,904	3,703
Yakutat	5,830	6,492	9,281	10,575	9,130	7,121	5,222	7,992	8,409	12,408	15,295	13,394	13,995	14,177
Southeastern	1,420	1,972	1,403	2,247	1,479	2,199	1,862	2,427	1,967	2,459	3,258	3,167	4,025	2,646
Total	**15,364**	**15,285**	**17,948**	**19,085**	**14,214**	**12,139**	**11,286**	**18,039**	**17,352**	**20,873**	**26,654**	**25,473**	**31,703**	**26,706**
Shortraker RPW:														
Shumagin	4,869	4,301	5,004	5,953	2,078	2,192	3,956	7,940	5,946	4,468	6,716	6,954	15,050	7,314
Chirikof	2,591	1,449	1,216	1,384	914	293	1,174	812	1,007	1,471	1,422	1,165	1,607	1,682
Kodiak	5,043	5,833	6,787	4,874	2,802	1,912	2,649	2,554	4,657	4,273	5,201	5,562	5,553	7,413
Yakutat	13,320	13,335	19,093	20,585	17,033	14,411	11,046	15,248	17,352	26,830	30,685	26,500	28,754	28,382
Southeastern	2,474	3,384	2,214	3,546	2,053	4,124	3,102	4,034	3,377	3,970	5,818	4,569	7,099	4,574
Total	**28,297**	**28,302**	**34,313**	**36,343**	**24,880**	**22,932**	**21,927**	**30,588**	**32,338**	**41,013**	**49,842**	**44,750**	**58,063**	**49,365**

	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015
Shortraker RPN:														
Shumagin	3,386	3,576	6,477	2,041	3,901	3,566	3,349	4,633	4,529	8,188	3,663	3,959	2,826	3,359
Chirikof	951	809	474	274	931	714	813	482	804	1,331	994	725	1,251	1,638
Kodiak	1,982	1,510	1,409	1,807	3,080	4,200	3,748	5,967	2,346	3,928	3,223	2,589	3,825	2,602
Yakutat	9,942	7,312	7,519	6,963	7,970	13,169	12,517	10,124	6,244	7,703	8,241	5,076	10,620	10,028
Southeastern	3,098	3,951	2,874	1,905	2,106	2,876	2,536	2,292	1,837	2,227	1,537	2,350	1,934	1,869
Total	**19,358**	**17,158**	**18,754**	**12,990**	**17,989**	**24,524**	**22,964**	**23,498**	**15,759**	**23,377**	**17,658**	**14,699**	**20,456**	**19,496**
Shortraker RPW:														
Shumagin	4,978	5,874	9,678	3,458	5,830	4,944	4,827	6,390	6,375	11,708	5,459	5,532	3,871	4,857
Chirikof	1,324	1,420	624	378	969	1,067	1,129	659	1,423	1,975	1,308	1,002	1,858	1,899
Kodiak	3,305	2,908	2,496	3,144	6,086	8,003	6,120	11,487	3,622	7,101	5,526	4,090	6,648	4,456
Yakutat	18,314	14,583	14,292	12,751	14,056	22,684	21,605	17,340	10,724	12,747	14,683	8,632	19,874	18,660
Southeastern	5,598	7,455	5,045	2,946	3,203	4,914	4,140	3,541	3,004	4,167	2,939	4,351	3,868	4,016
Total	**33,518**	**32,240**	**32,134**	**22,677**	**30,144**	**41,612**	**37,821**	**39,416**	**25,147**	**37,698**	**29,915**	**23,607**	**36,119**	**33,888**

Source: 1988-2009: C. Lunsford, National Marine Fisheries Service, Alaska Fisheries Science Center, Auke Bay Laboratories, 17109 Pt. Lena Loop Rd., Juneau AK 99801. Pers. commun. October 15, 2009. 2010-2015: AFSC longline survey database accessed via the Alaska Fishery Information Network (AKFIN).

Table 11-6.--Biomass estimates (t) for shortraker rockfish in the Gulf of Alaska, by statistical area, based on bottom trawl surveys conducted between 1984 and 2015. Gulfwide 95% confidence bounds, variance, and coefficient of variation (CV) are also shown for each year.

	Statistical areas						Gulfwide			
							95% Conf. bounds			
Year	Shumagin	Chirikof	Kodiak	Yakutat	South-eastern	Gulfwide Total	Lower	Upper	Biomass variance	Biomass CV (%)
					Shortraker Rockfish					
1984	4,874	659	4,685	6,288	2,051	18,557	4,600	32,515	34,829,252	31.8
1987	3,232	13,182	18,950	4,408	3,079	42,851	13,392	72,311	196,602,336	32.7
1990	284	1,729	3,027	6,037	1,604	12,681	6,412	18,951	9,085,499	23.8
1993	2,775	2,320	4,735	7,740	1,903	19,472	11,290	27,654	15,474,771	20.2
1996	1,905	2,406	7,726	4,523	3,699	20,258	10,652	29,865	20,532,868	22.4
1999	2,208	3,931	8,459	9,831	3,845	28,275	16,841	39,709	30,393,883	19.5
2001*	4,313	1,589	11,513	7,350	3,149	27,914	18,819	37,008	21,530,717	16.6
2003	11,166	2,996	14,292	11,936	1,633	42,023	23,572	60,474	81,168,454	21.4
2005	5,946	6,342	10,741	16,866	2,673	42,568	25,603	59,532	69,018,739	19.5
2007	2,492	1,911	8,275	8,197	14,250	35,125	17,296	52,954	66,950,870	23.3
2009	8,810	3,209	13,541	12,518	6,109	44,185	25,332	63,039	79,840,212	20.2
2011	2,464	23,382	9,113	22,561	7,316	64,835	18,028	111,643	461,441,570	33.1
2013	2,248	2,410	6,318	49,374	7,021	67,370	13,999	120,740	535,643,928	34.4
2015	1,064	4,881	9,191	32,662	14,520	62,317	19,200	105,433	404,045,782	32.3

*The 2001 survey did not sample the eastern Gulf of Alaska (Yakutat and Southeastern areas). Substitute estimates of biomass for these areas in 2001 were obtained by averaging the Yakutat and Southeastern biomass in the 1993, 1996, and 1999 surveys. These eastern Gulf of Alaska estimates have been included in the 2001 biomass estimates, confidence bounds, biomass variances, and biomass CVs listed in this table.

Table 11-7.--Trawl survey biomass estimates (t) and the percent of biomass for shortraker rockfish in the Gulf of Alaska, by NPFMC regulatory area, for the three most recent trawl surveys in 2011, 2013, and 2015.

	Regulatory area			
Year	Western	Central	Eastern	Total
2011	2,464	32,495	29,877	64,835
2013	2,248	8,727	56,395	67,370
2015	1,064	14,071	47,181	62,317
	Percent of biomass by area			
2011	3.80%	50.12%	46.08%	100.00%
2013	3.34%	12.95%	83.71%	100.00%
2015	2.98%	23.40%	73.62%	100.00%

Table 11-8.-- Analysis of ecosystem considerations for shortraker rockfish.

Indicator	*Observation*	*Interpretation*	*Evaluation*
ECOSYSTEM EFFECTS ON STOCK			
Prey availability or abundance trends	important for larval and post-larval survival, but no information known	may help to determine year class strength	possible concern
Predator population trends	unknown		little concern for adults
Changes in habitat quality	variable	variable recruitment	possible concern
FISHERY EFFECTS ON ECOSYSTEM			
Fishery contribution to bycatch			
Prohibited species	unknown		
Forage (including herring, Atka mackerel, cod, and pollock)	unknown		
HAPC biota (seapens/whips, corals, sponges, anemones)	fishery disturbing hard-bottom biota, i.e., corals, sponges	could harm the ecosystem by reducing shelter for some species	concern
Marine mammals and birds	probably few taken		little concern
Sensitive non-target species	unknown		
Fishery concentration in space and time	little overlap between fishery and reproductive activities	fishery does not hinder reproduction	little concern
Fishery effects on amount of large size target fish	unknown		
Fishery contribution to discards and offal production	discard rates moderate	some unnatural input of food into the ecosystem	some concern
Fishery effects on age-at-maturity and fecundity	unknown		

Table 11-9.--Average bycatch (kg) and bycatch rates during 1997 - 99 of living substrates in the Gulf of Alaska; POT - pot gear; BTR - bottom trawl; HAL - Hook and line (source - Draft Programmatic SEIS).

		Bycatch (kg)				Target	Bycatch rate (kg/t target)			
Target fishery	Gear	Coral	Anemone	Sea whips	Sponge	catch (t)	Coral	Anemone	Sea whips	Sponge
Arrowtooth flounder	POT	0	0	0	0	4	0.0000	0.0000	0.0000	0.0000
Arrowtooth flounder	BTR	58	99	13	24	2,097	0.0276	0.0474	0.0060	0.0112
Deep water flatfish	BTR	1,626	481	5	733	2,001	0.8124	0.2404	0.0024	0.3663
Rex sole	BTR	321	306	11	317	2,157	0.1488	0.1417	0.0053	0.1468
Shallow water flatfish	POT	0	0	0	0	5	0.0000	0.0000	0.0000	0.0000
Shallow water flatfish	BTR	53	4,741	115	403	2,024	0.0261	2.3420	0.0567	0.1993
Flathead sole	BTR	3	267	1	136	484	0.0071	0.5522	0.0019	0.2806
Pacific cod	HAL	28	4,419	961	33	10,765	0.0026	0.4105	0.0893	0.0030
Pacific cod	POT	0	14	0	1,724	12,863	0.0000	0.0011	0.0000	0.1340
Pacific cod	BTR	34	5,767	895	788	37,926	0.0009	0.1521	0.0236	0.0208
Pollock	BTR	1,153	55	0	23	2,465	0.4676	0.0222	0.0000	0.0092
Pollock	PTR	41	110	0	0	97,171	0.0004	0.0011	0.0000	0.0000
Demersal shelf rockfish	HAL	0	0	0	141	226	0.0000	0.0000	0.0000	0.6241
Northern rockfish	BTR	25	90	0	103	1,938	0.0127	0.0464	0.0000	0.0532
Other slope rockfish	HAL	0	0	0	0	14	0.0000	0.0000	0.0000	0.0000
Other slope rockfish	BTR	0	0	0	0	193	0.0000	0.0000	0.0000	0.0000
Pelagic shelf rockfish	HAL	0	0	0	0	203	0.0000	0.0000	0.0000	0.0000
Pelagic shelf rockfish	BTR	324	176	3	245	1,812	0.1788	0.0969	0.0017	0.1353
Pacific ocean perch	BTR	549	90	5	1,968	6,564	0.0837	0.0136	0.0007	0.2999
Pacific ocean perch	PTR	7	0	0	55	1,320	0.0052	0.0000	0.0000	0.0416
Shortraker/rougheye	HAL	6	0	0	0	19	0.3055	0.0000	0.0000	0.0000
Shortraker/rougheye	BTR	0	18	0	0	21	0.0000	0.8642	0.0000	0.0000
Sablefish	HAL	156	154	68	27	11,143	0.0140	0.0138	0.0061	0.0025
Sablefish	BTR	0	0	0	0	27	0.0000	0.0000	0.0000	0.0000
Shortspine thornyhead	HAL	0	0	0	0	2	0.0000	0.0000	0.0000	0.0000
Shortspine thornyhead	BTR	0	9	0	1	2	0.0000	4.8175	0.0000	0.4069

Figures

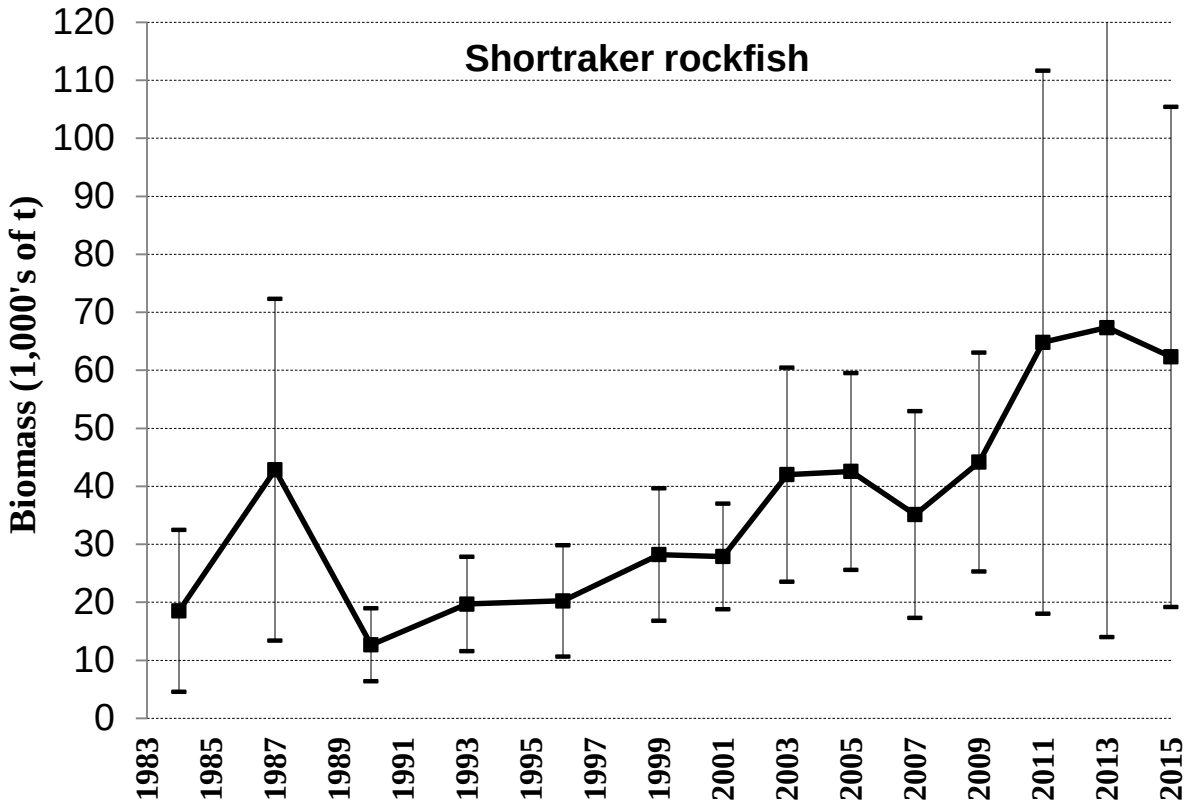

Figure 11-1.--Estimated biomass (t) of shortraker rockfish in the Gulf of Alaska based on results of bottom trawl surveys from 1984 through 2015. The vertical bars show the 95% confidence limits associated with each estimate. The eastern Gulf of Alaska was not sampled in the 2001 survey, but substitute estimates of biomass and confidence limits for this region in 2001 were calculated and included in the above graph.

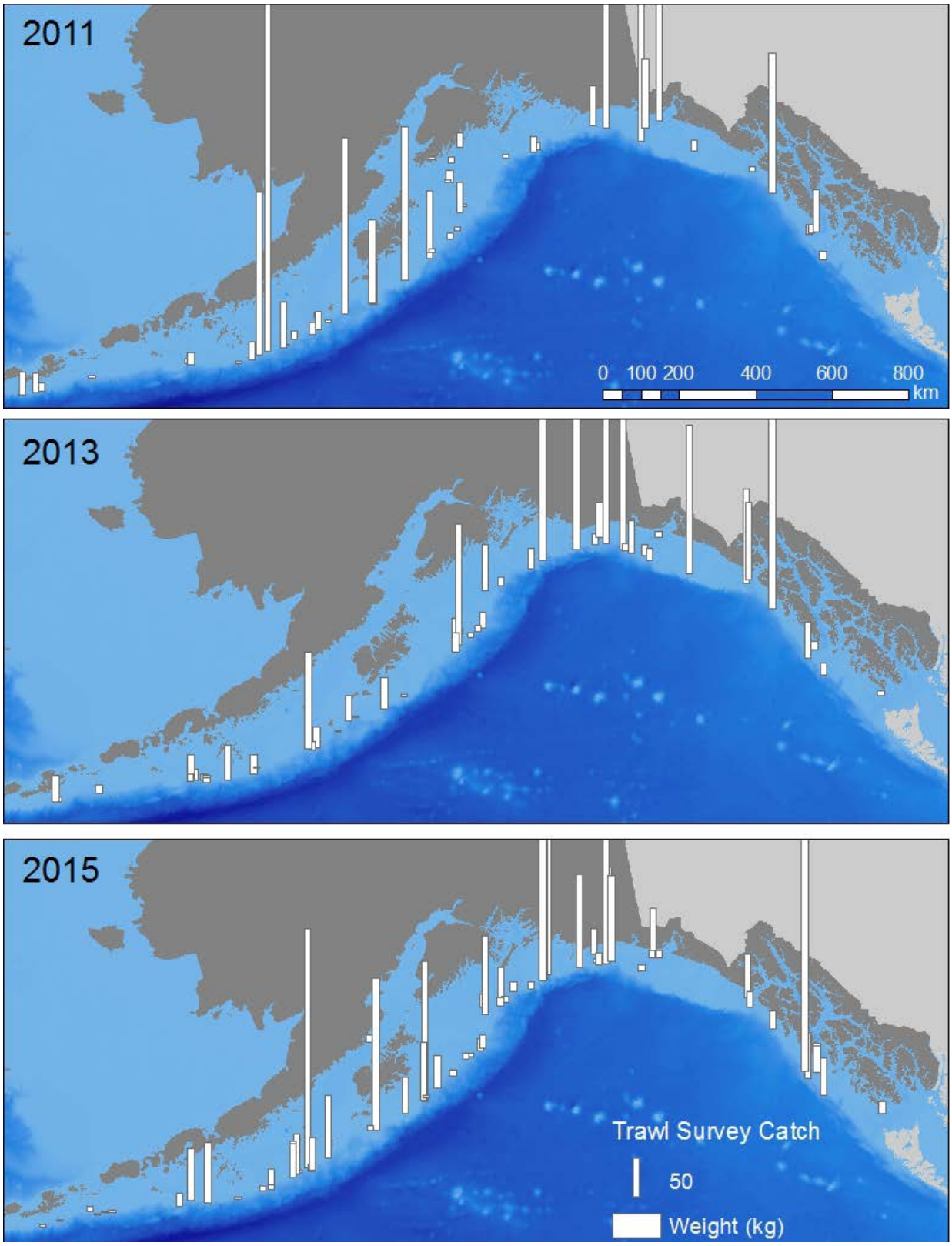

Figure 11-2.--Spatial distribution of shortraker rockfish catches in the Gulf of Alaska during the 2011, 2013, and 2015 NMFS bottom trawl surveys.

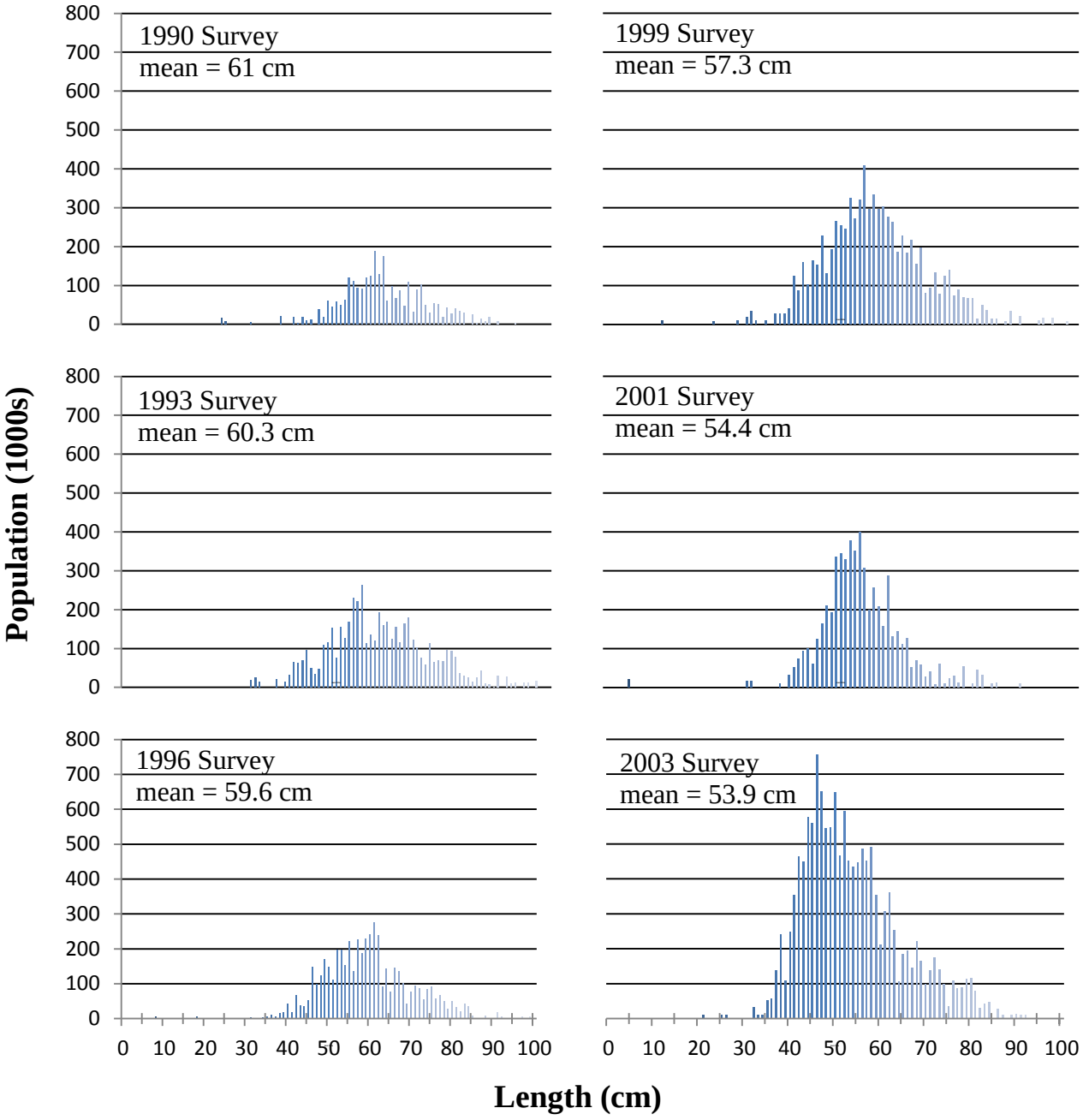

Figure 11-3.--Size composition of the estimated population of shortraker rockfish in the Gulf of Alaska based on trawl surveys conducted between 1990 and 2015. (Figure continued on next page.)

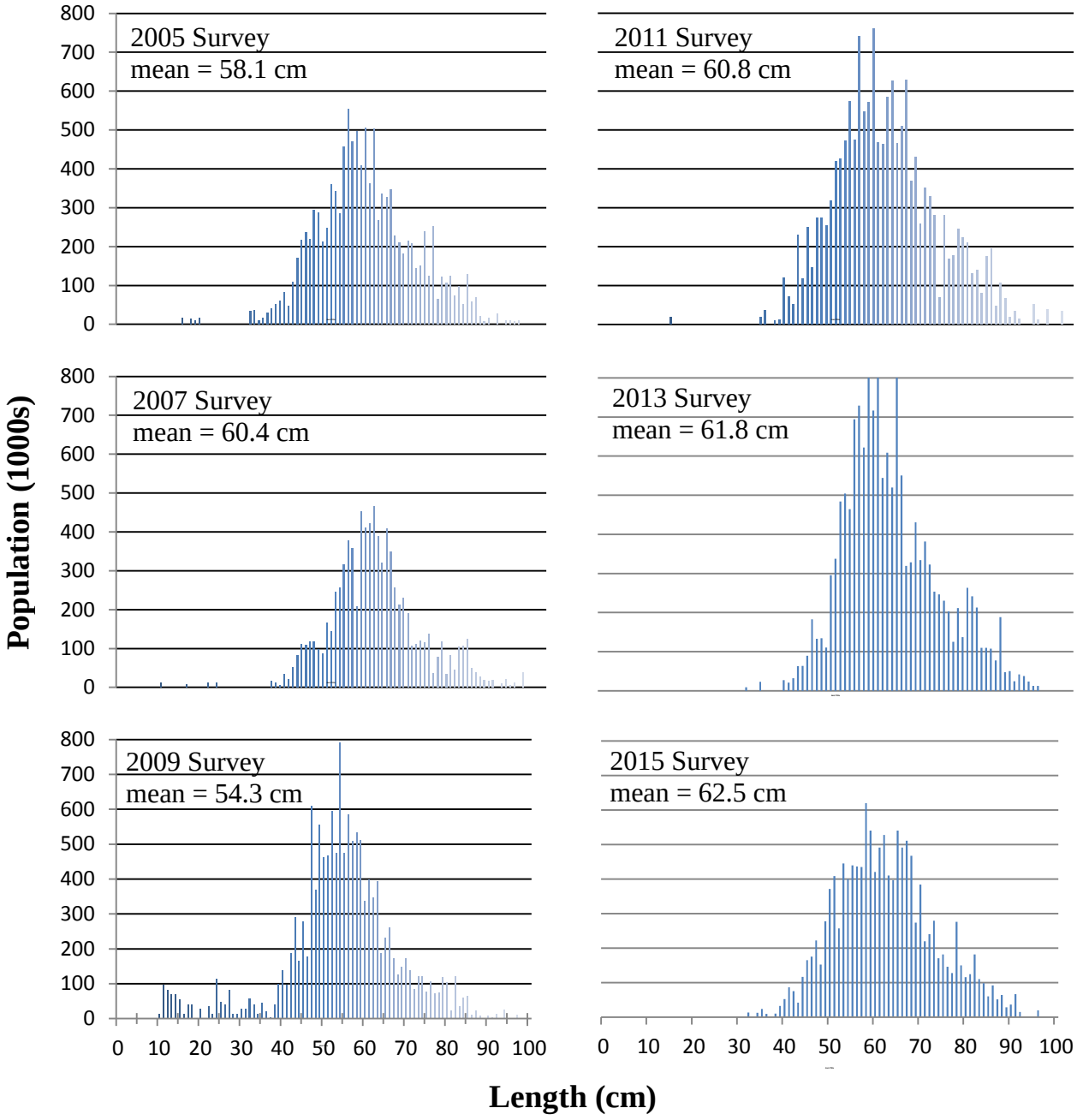

Figure 11-3.--Continued.

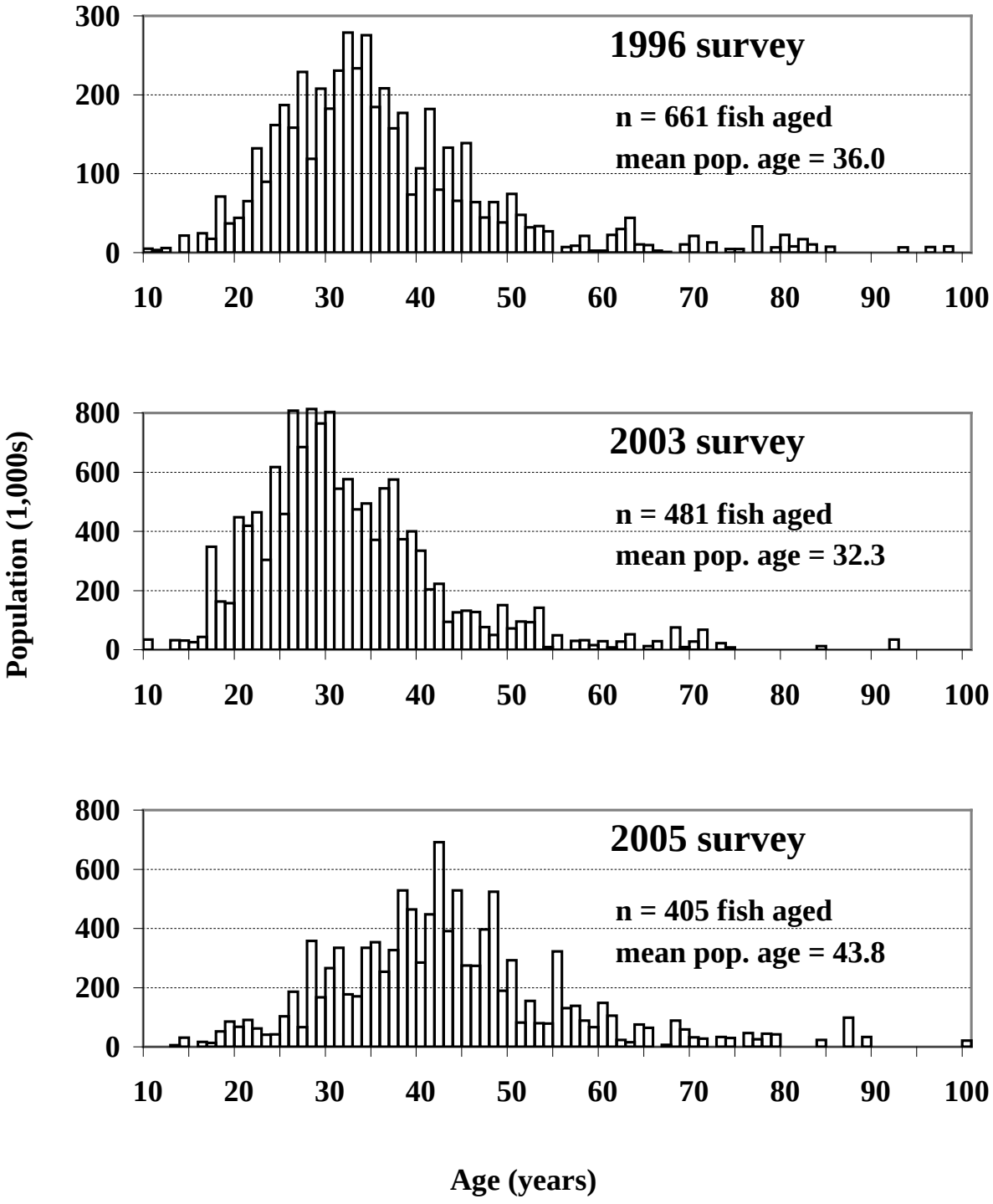

Figure 11-4.--Age composition of the estimated population of shortraker rockfish in the 1996, 2003, and 2005 Gulf of Alaska trawl surveys.

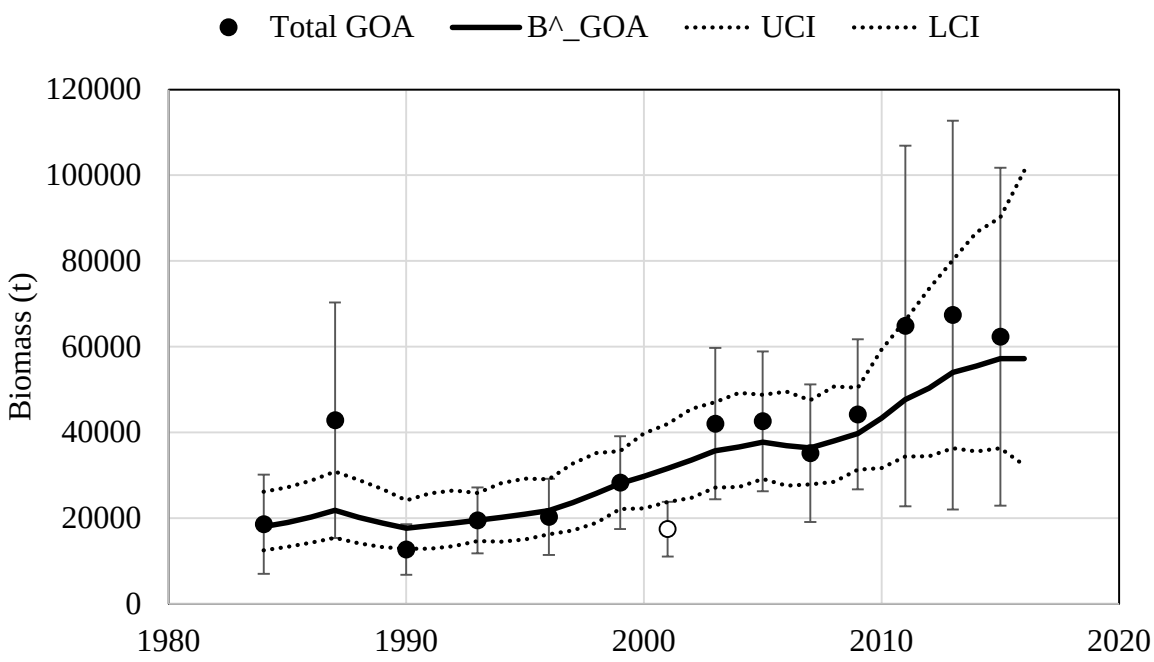

Figure 11-5.--Biomass estimates (t) of shortraker rockfish from NMFS bottom trawl surveys (filled circle) and from a random effects model (solid black line) that utilizes trawl survey biomass estimates from all years (with 95% confidence intervals, UCI/LCI). Open circle points in the figure denote years with missing regional/depth strata data.

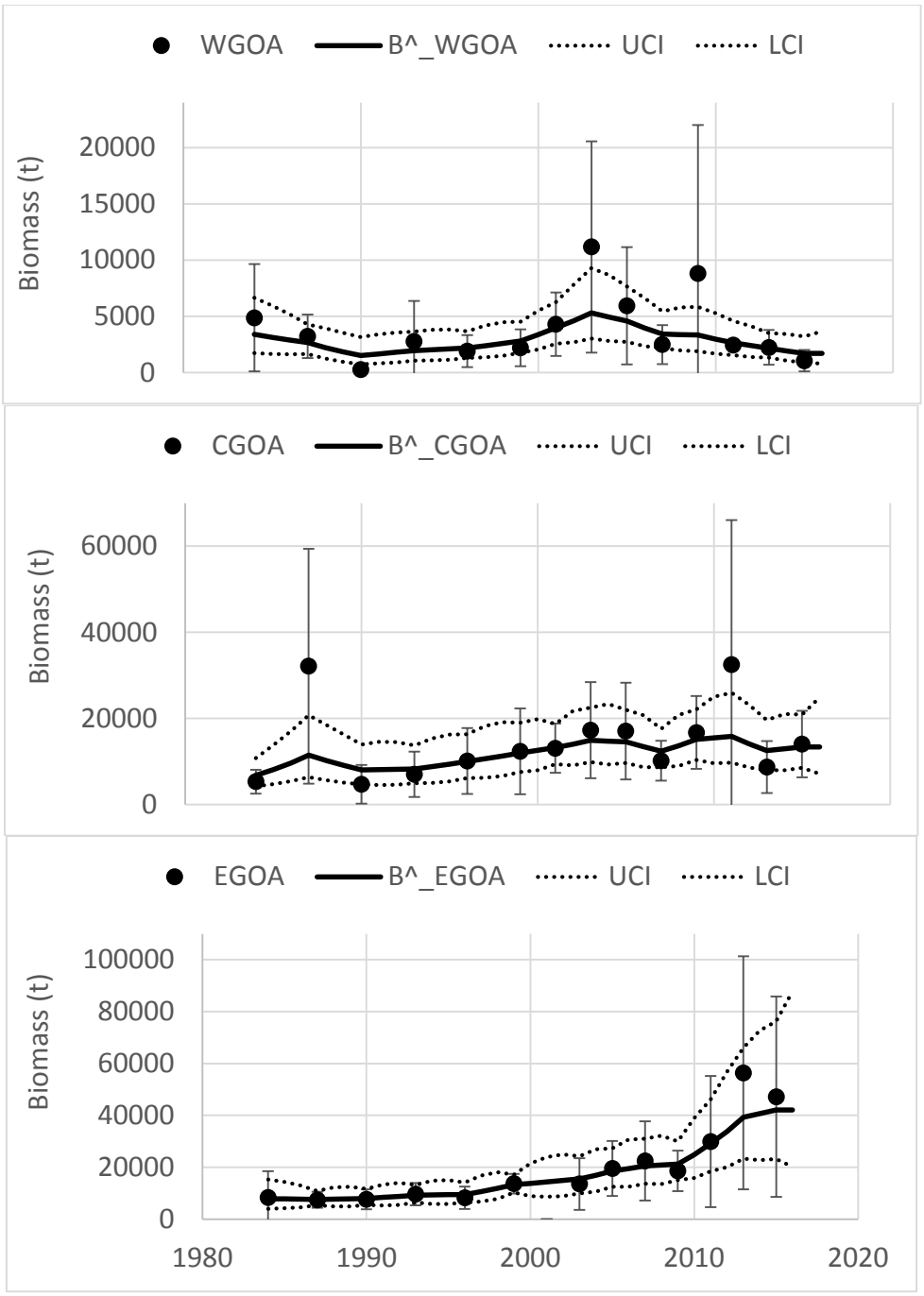

Figure 11-6-- Biomass estimates (t) of shortraker rockfish by area from NMFS bottom trawl surveys (filled circle) and from a random effects model (solid black line) that utilizes trawl survey biomass estimates from all years (with 95% confidence intervals, UCI/LCI). Top panel is the Western Gulf of Alaska (WGOA) Area, middle panel is the Central Gulf of Alaska (CGOA) Area, and bottom panel is the Eastern Gulf of Alaska (EGOA) Area. Please note the different scales between panels on the y-axis.

Appendix 11A – Supplemental Catch Data

In order to comply with the Annual Catch Limit (ACL) requirements, non-commercial removals in the Gulf of Alaska (GOA) are presented. Non-commercial removals are estimated total removals that do not occur during directed groundfish fishing activities (Table 11A-1). This includes removals incurred during research, subsistence, personal use, recreational, and exempted fishing permit activities, but does not include removals taken in fisheries other than those managed under the groundfish FMP. These estimates represent additional sources of removals to the existing Catch Accounting System estimates.

Research catches of shortraker rockfish for the years 1977-2014 are listed in Table 11A-2. Although data are not available for a complete accounting of all research catches, the values in the table indicate that generally these catches have been modest. The one exception is 1999, when a total of almost 110 t was taken, mostly by research trawling. The majority of research removals of shortraker rockfish are taken by the Alaska Fisheries Science Center's (AFSC) annual longline survey and the biennial bottom trawl survey, which is the primary research survey used for assessing the population status of GOA shortraker rockfish. Other research activities that harvest minor amounts of shortraker rockfish include other trawl research activities conducted by the Alaska Department of Fish and Game (ADFG) and the International Pacific Halibut Commission's (IPHC) longline survey. Recorded recreational harvest or harvest that was non-research related in 2011-2014 have varied between 1 and 2 t. The non-commercial removals show that a total of almost 16 t of shortraker rockfish was taken in 2014 during research cruises and in sport fisheries (Table 11A-1). Nearly equal amounts (between 5 – 6 t) have been taken in longline surveys by either the International Pacific Halibut Commission or the NMFS Alaska Fishery Science Center, and the NMFS trawl survey since 2011. This total is < 3% of the reported commercial catch of 685 t for shortraker rockfish in 2014 (see Table 11-2 in the main document). Therefore, this presents no risk to the stock especially because commercial catches in recent years have been much less than ABCs.

Table 11A-1.--Estimated research and sport catches (t) of shortraker rockfish in the Gulf of Alaska in 2014, based on data provided by the NMFS Alaska Regional Office (AK R.O.). IPHC longline = International Pacific Halibut Commission longline survey; AFSC longline = NMFS Alaska Fishery Science Center longline survey.

Source	Research trawl	IPHC longline	AFSC longline	Sport	Total
AK R.O.	.03	6.9	6.8	2.1	15.8

Table 11A-2.--Catch (t) of shortraker rockfish taken during NMFS research cruises in the Gulf of Alaska, 1977-2014. Longline data refers only to catches in the AFSC longline survey and does not include the International Pacific Halibut Commission longline survey. (n.a.=not available; tr=trace).

	Gear		
Year	Trawl	Longline	Total
1977	0.1	0.0	0.1
1978	0.6	n.a.	0.6
1979	0.5	n.a.	0.5
1980	1.0	n.a.	1.0
1981	6.2	n.a.	6.2
1982	2.4	n.a.	2.4
1983	0.2	n.a.	0.2
1984	6.8	n.a.	6.8
1985	3.5	n.a.	3.5
1986	0.9	n.a.	0.9
1987	15.5	n.a.	15.5
1988	0.0	n.a.	0.0
1989	0.1	n.a.	0.1
1990	2.4	n.a.	2.4
1991	tr	n.a.	tr
1992	0.1	n.a.	0.1
1993	3.0	n.a.	3.0
1994	0.1	n.a.	0.1
1995	tr	n.a.	tr
1996	4.3	5.9	10.2
1997	0.0	11.1	11.1
1998	20.7	9.7	30.4
1999	101.5	8.1	109.6
2000	0.0	10.0	10.0
2001	1.0	7.1	8.1
2002	0.5	6.1	6.6
2003	4.3	5.5	9.8
2004	0.0	4.7	4.7
2005	4.1	4.5	8.6
2006	0.0	6.0	6.0
2007	4.7	7.9	12.6
2008	0.0	8.4	8.4
2009	8.3	6.7	15.0
2010	0.0	4.2	4.2
2011	4.6	6.7	11.3
2012	0.0	5.3	5.3
2013	5	4.1	9.1
2014	.03	6.8	6.83